

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ECH CENTER ROOM Atty. Docket: BEN-SASSON=2B

THORN TO STORY

In re Application of: Conf. No.: 6540 Shmuel BEN-SASSON Art Unit: 1646 Appln. No.: 09/736,076 Examiner:

Filed: December 13, 2000 Washington, D.C.

For: SHORT PEPTIDES WHICH February 22, 2002

SELECTIVELY MODULATE THE ACTIVITY OF SERINE/ THREONINE INASES

INFORMATION DISCLOSURE STATEMENT [IDS]

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir :

This Information Disclosure Statement is submitted in accordance with 37 CFR §§1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above- identified application, and any other application relying on the filing date of the above-identified application or cross- referencing it as a related application.

[X] 1. This IDS should be considered, in accordance with 37 CFR §1.97, as it is filed:

(Check one of the boxes A-D)

[] A. within three months of the filing date of the above-identified national application or within three most hs of the entry into the national stage of the above-identified international application.

- $\ensuremath{\left[\mathrm{X}\right]}$ B. before the mailing date of a first office action on the merits.
- [] C. after (A) and (B) above, but before final rejection or allowance, and Applicants have made the necessary certification (box "i" below) or paid the necessary fee (box "ii" below).

(Check one of the boxes "i" and "ii" below:)

- [] i. Counsel certifies that, upon information and belief, each item of information listed herein was either
 - [] (a) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
 - [] (b) not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in \$1.56(c) more than three months prior to the filing of this IDS.
- [] ii. Credit Card Payment Form, PTO-2038, is attached authorizing payment of the fee set forth in \$1.17(p), presently believed to be \$180.00.
- [] D. after (A), (B) and (C) above, but before payment of the issue fee: Applicant(s) petitions under 37 CFR \$1.97(d) for consideration of this IDS. (use one and delete other of following and this note) A check (check no. ____) for/ Credit Card Payment Form, PTO-2038, is attached authorizing payment of the fee set forth in \$1.17(i), presently believed to be \$130 is enclosed. Counsel certifies

that, upon information and belief, each item of information listed herein was either

(Check one of the boxes "a" and "b" below)

- [] (a) first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
- [] (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was not known to any individual designated in \$1.56(c) more than three months prior to the filing of this IDS.
- [X] 2. In accordance with 37 CFR \$1.98, this IDS includes a list (e.g., form PTO-1449) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. A copy of each document listed is attached, except as explained below.

(check boxes A and/or B and fill in blanks, if appropriate)

- [] A. Document(s) _____ is (are) deemed substantially cumulative to document(s) _____, and, in accordance with \$1.98(c), only a copy of each of the latter documents is enclosed.
- [X] B. Documents AA-AW were previously cited by or submitted to the Office in the following prior application(s), which are relied upon under 35 U.S.C. 120:

08/861,338, filed May 21, 1997

Applicant(s) identifies these documents by attaching hereto a fresh PTO-1449 listing these documents, and request that they

be considered and made of record in accordance with \$1.98(d). Per 37 CFR \$1.98(d), copies of these documents need not be filed in this application. [] 3. Document(s) _____ is (are) not in the English language. In accordance with \$1.98(c), Applicant(s) states: [] An English translation of each document (or of the pertinent portions thereof), or a copy of each corresponding English-language patent or application, or English-language abstract (or claim) is enclosed. [] A concise explanation of the relevance of document(s) _____ is found in the attached search report (see reply to Comment 68 in the preamble to the final rules; 1135 OG 13 at 20). [] A concise explanation of the relevance of document(s) ____ is set forth as follows: (insert concise explanation of relevance) [] A concise explanation of the relevance of document(s) can be found on page(s) of the specification. [] A concise explanation of document(s) can be found on the attached sheet. [X] 4. No explanation of relevance is necessary for documents in the English language (see reply to Comments 67 and 68 in the preamble to the final rules; 1135 OG 13 at 20).

In re Appln. No. 08/09/736,076

(insert other information)

6. In accordance with 37 CFR §\$1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in \$1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant(s) reserves the right to prove that the date of publication is in fact different.

Respectfully submitted,

BROWDY AND NEIMARK

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С	omplete if Known	^
Application Number	09/736,076	1
Filing Date	December 13, 2000	
First Named Inventor	Shmuel BEN-SASSON	
Group Art Unit	1646	7
Examiner Name		7
Attorney Docket Number	BEN-SASSON=2B	

	FOREIGN PATENT DOCUMENTS										
			Foreign Patent Nun	nber	Name of Botanton or Applicant	Date of Publication	Pages, Columns, Lines,				
Examiner Initials*	Cite No.1	Office ³			Name of Patentee or Applicant of Cited Document	of Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ⁶			
	AA	wo	93/16703	A1	Nambi et al	09-02-1993					
	AB	wo	97/14038	A1	Mochley-Rosen et al	04-17-1997					

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS
	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	AC	ALEMÁ et al, "Differentiation of PC12 phaeochromocytoma cells induced by v-src oncogene", Nature 316(6028):557-559 (1985)
	AD	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published ALEMÁ et al, "Differentiation of PC12 phaeochromocytoma cells induced by v-src oncogene", Nature 316(6028):557-559 (1985) BIRCHALL et al, "Ro 32-0432, a Selective and Orally Active Inhibitor of Protein Kinase C Prevents T-Cell Activation", J Parmacol Exp Ther 268(2):922-929 (1994)
	AE	BRADSHAW et al, "Therapeutic potential of protein kinase C inhibitors", <u>Agents Actions</u> 38(1-2):135-147 (1993)
	AF	DUDEK et al, "Regulation of neuronal Survival by the Serine-Threonine Protein Kinase Akt", <u>Science</u> 275:661-665 (1997)
	AG	FRANKE et al, "P13K: Downstream AKTion Blocks Apoptosis", <u>Cell</u> 88:435-437 (1997)
	АН	FREEDMAN et al, "Desensitization of G Protein-Coupled Receptors", Recent Prog Horm Res 51:319-353 (1996)
	AI	GHISO et al, "Binding of Cystatin C to C4: The Importance of Sense-Antisense Peptides in their Interaction", Proc Nat Acad Sci USA 87(4):1288-1294 (1990)
	AJ	GLOVER et al, "Polo-Kinase: The Choreographer of the Mitotic Stage?", <u>J Cell Biol</u> 135:1681-1684 (1996)
	AK	HANKS et al, "The Eukaryotic Protein Kinase Superfamily in <u>The Protein Kinase Facts Book,</u> Vol. I, Hardie et al, eds., Academic Press, Chapter 2 (1995)
	AL	HEMMINGS BA, "Akt Signaling: Linking Membrane Events to Life and Death Decisions", <u>Science</u> 275:628-631 (1997)

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Examiner		Date	
Signature		Considered	

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	2		of	2	

Complete if Known					
Application Number	09/736,076				
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First Named Inventor	Shmuel BEN-SASSON				
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS							
xaminer nitials*	Cite No. Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published								
	AM	HUBBARD et al, "Crystal structure of the tyrosine kinase domain of the human insulin receptor", <u>Nature</u> 372:746-753 (1994)							
	AN	KOHN et al, Expression of a Constitutitively Active Akt Ser/Thr Kinase in 3T3-L1 Adipocytes Stimulates Glucose Uptake and Glucose Transporter 4 Translocation" <u>J Biol Chem</u> 271(49):31372-31378 (1996)							
	AO	LANGE-CARTER et al, "A Divergence in the MAP Kinase Regulatory Network efined by MEK Kinase and Raf", Science 260:315-318 (1993)							
	AP	LOVRIĆ et al, "Activation of Mil/Raf protein kinases in mitotic cells", Oncogene 12:1109-1116 (1996)	7						
	AQ	MASON IJ, "Th Ins and Outs of Fibroblast Growth Factors", Cell 78:547-552 (1994)	2						
	AR	McMURRAY et al, "Cyclic peptide substrates of pp60c-src: synthesis and evaluation", Int J Pept Protein Res 42(3):209-215 (1993) (from Chem Abastracts 1993, Acc. No. 120:100177)	7006						
	AS	MOHAMMADI et al, "Structure of the FGF Receptor Tyrosine Kinase Domain Reveals a Novel Autoinhibitory Mechanism", Cell 86:577=587 (1996)							
	AT	NISHIZUKA Y, "Protein kinase C and lipd signaling for sustained cellular responses", <u>FASEB J</u> 9:484-496 (1995)							
	AU	OKADA et al, "Synthesis of Gln-Val-Val-Ala-Gly, a common sequence of thiol proteinase inhibitors, and its derivatives. Relationship between structure and effect on thiol proteinases", <u>Pept Chem</u> 653-656 (1998) (from <u>Chem Abstracts</u> , 1998, Acc. No. 109:69322)							
	AV	SIMMONS et al, "Identification of an early-growth-response gene encoding a novel putative protein kinase", Mol Cel Biol 12(9):4164-4169 (1992)							
	AW	TAYLOR et al, "cAMP-dependent protein kinase defines a family of enzymes", Phil Trans R Soc Lond B 340:315-324 (1993)							
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Signatur	9	 		Considered			

^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449A/P	BY B TRADE	MAKE	Application Number	09/736,076		
INFORMATIO	N DISC	LOSURE	Filing Date	December 13, 2000		
STATEMENT			First Named Inventor	Shmuel BEN-SASSON		
SIAILMENT	י או ט	LIOAITI	Group Art Unit	1646		
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Sheet 1	of	1	Attorney Docket Number	BEN-SASSON=2B		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	AX	KALLUNKI et al, "JNK2 contains a specificity-determining region responsible for efficient c-Jun binding and phosphorylation", Genes & Development 8:2996-3007 (1994)	
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